

## FORMALITIES LETTER

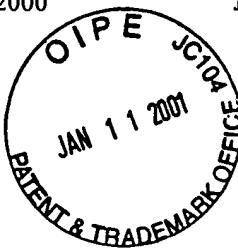


\*OC00000005327155\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/588,037         | 06/05/2000          | J. Gregory Stout      | A-68146/MAK/LM         |

Flehr Hohbach Test Albritton & Herbert LLP  
 Four Embarcadero Center Suite 3400  
 San Francisco, CA 94111-4187



Date Mailed: 08/16/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- Small Entity Statement is missing.
- **The balance due by applicant is \$ 475.**

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

01/12/2001 MMAROL 00000081 09588037

01 FEB 2005

65.00 OP

File A-68146 Atty MAK/LM  
 Due Date 10/14/00  
 Type Missing Parts Refs



SECTOR  
SA

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

STOUT

Serial No.: 09/588,037

Filed: June 5, 2000

For: SYSTEM FOR CONSUMER-TRANSACTION INFORMATION  
THAT FOLLOWS THE CONSUMER

Examiner: Unknown

Group Art Unit: 2761

CERTIFICATE OF MAILING  
I hereby certify that this correspondence, including listed enclosures, is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner of Patent, Washington, D.C. 20231 on January 8, 2001.

Signature:

Grace de Bos  
Grace de Bos

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Sir:

In response to the Notice to File Missing Parts of Application mailed August 16, 2000, enclosed are the following documents:

1. A Copy of Notice to File Missing Parts;
2. An Executed Declaration;
3. A Recordation Form Cover Sheet;
4. An Executed Assignment;
5. A Petition for Extension of Time;
6. A Check No. 33820 for \$40.00 to cover the assignment recordation fee;
7. A Check No. 33819 for \$65.00 to cover the missing parts fee;
8. A Check No. 33821 for \$445.00 to cover the Petition for Extension of Time fee;
9. An Executed Power of Attorney by Assignee;
10. A Request for Corrected Filing Receipt; and
11. A self-addressed return postcard.

Applicant claims small-entity status pursuant to 37 CFR 1.27.

The Assistant Commissioner is hereby authorized to charge any additional fees, including extension fees, or credit any overpayment to Deposit Account 06-1300 (File No. A-68146/MAK/LM).

Respectfully submitted,

FLEHR HOHBACH TEST  
ALBRITTON & HERBERT LLP

By Larry Mendenhall  
Larry Mendenhall  
Reg. No. 38,555

Four Embarcadero Center, Suite 3400  
San Francisco, California 94111-4187  
Telephone: (415) 781-1989

113

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

STOUT

Serial No.: 09/588,037

Filed: June 5, 2000

For: SYSTEM FOR CONSUMER-  
TRANSACTION INFORMATION  
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Examiner: Unknown

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Signature: Grace de Bos  
Grace de Bos

Box Missing Parts  
Assistant Commissioner of Patent  
Washington, D.C. 20231

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Sir:

Appended hereto is a copy of the Official Filing Receipt for the above-identified patent application with errors indicated in red.

In the Continuing Data as Claimed by Applicant portion of the receipt, after "WHICH IS A CIP OF 08/957,757 10/24/1997 ABN" and before "AND CLAIMS BENEFIT OF 60/137,575 06/04/1999", please insert --WHICH IS A CIP OF 08/853,955 05/09/1997--.

The Continuing Data as Claimed by Applicant portion of the receipt will then correctly read as follows:

THIS APPLICATION IS A CIP OF 09/480,883 01/10/2000  
WHICH IS A CIP OF 08/957,757 10/24/1997 ABN  
WHICH IS A CIP OF 08/853,955 05/09/1997  
AND CLAIMS BENEFIT OF 60/137,575 06/04/1999  
AND CLAIMS BENEFIT OF 60/141,380 06/28/1999

In the Title portion of the application, after "CONSUMER-TRANSACTION" and before "THAT FOLLOWS", please delete "INFROMATION" and insert  
—INFORMATION—.

The Title shall then properly read as follows "SYSTEM FOR CONSUMER-TRANSACTION INFORMATION THAT FOLLOWS THE CONSUMER".

Because this error was caused by the Patent Office, it is believed that no fee is necessary. However, if this belief is in error, the commissioner is authorized to charge any fee which may be required, or credit any overpayment to Deposit Account No. 06-1300 (Our Order No. A-68146/MAK/LM).

Respectfully submitted,

FLEHR HOHBACH TEST  
ALBRITTON & HERBERT LLP

Date: 8 Jan 00

Larry Mendenhall

Larry Mendenhall  
Reg No. 38,555

Four Embarcadero Center  
Suite 3400  
San Francisco, CA 94111-4187  
Telephone: (415) 781-1989  
1029913

## FILING RECEIPT



\*OC00000005327154\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY.DOCKET.NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|----------------|----------|------------|------------|
| 09/588,037         | 06/05/2000  | 2761         | 345           | A-68146/MAK/LM | 2        | 8          | 1          |

Flehr Hohbach Test Albritton & Herbert LLP  
 Four Embarcadero Center Suite 3400  
 San Francisco, CA 94111-4187



Date Mailed: 08/16/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

J. Gregory Stout, Sunnyvale, CA ;

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CIP OF 09/480,883 01/10/2000

WHICH IS A CIP OF 08/957,757 10/24/1997 ABN

AND CLAIMS BENEFIT OF 60/137,575 06/04/1999

AND CLAIMS BENEFIT OF 60/141,380 06/28/1999

which is a cip of 08/853,955 05/09/97

## Foreign Applications

If Required, Foreign Filing License Granted 08/16/2000

## Title

System for consumer-transaction information that follows the consumer

## Preliminary Class

705

information

Data entry by : TUTT, TONDENICA

Team : OIPE

Date: 08/16/2000

